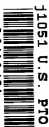


06/12/01



11051 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. 047912-0136



11046 U.S. PTO

09/8/02

06/12/01

Applicant: Kenichi MIKI

Title: DATA READ/WRITE CONTROLLING METHOD, DISK ARRAY
APPARATUS, AND RECORDING MEDIUM FOR RECORDING
DATA READ/WRITE CONTROLLING PROGRAM

Appl. No.: Unassigned

Filing Date: 06/12/2001

Examiner: Unassigned

Art Unit: Unassigned

UTILITY PATENT APPLICATION
TRANSMITTAL

Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional
utility patent application of:

Kenichi MIKI

Enclosed are:

- ☒ [X] Specification, Claim(s), and Abstract (27 pages).
- ☒ [X] Formal drawings (3 Sheets, Figures 1-3).
- ☒ [X] Preliminary Amendment (3 pages).
- ☒ [X] Declaration and Power of Attorney (2 pages).
- ☒ [X] Assignment of the invention to NEC CORPORATION.
- ☒ [X] Assignment Recordation Cover Sheet.
- ☒ [X] Claim for Convention Priority and Priority Document.

Appl. No. Unassigned

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$710.00	\$710.00
Total Claims:	11	- 20	= 0	x \$18.00	= \$0.00
Independents:	5	- 3	= 2	x \$80.00	= \$160.00
If any Multiple Dependent Claim(s) present:			+	\$270.00	= \$0.00
				SUBTOTAL:	= \$870.00
[] Small Entity Fees Apply (subtract 1/2 of above):					= \$0.00
				TOTAL FILING FEE:	= \$870.00
Assignment Recordation Fee:			+	\$40.00	= \$40.00
				TOTAL FEE	= \$910.00

- [X] A check in the amount of \$910.00 to cover the filing fee and fee for recordation of Assignment is enclosed.
- [] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,



David A. Blumenthal
Attorney for Applicant
Registration No. 28,257

June 12, 2001
Date

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